

IN THE DISTRICT COURT OF THE UNITED STATES  
FOR THE MIDDLE DISTRICT OF ALABAMA  
EASTERN DIVISION

UNITED STATES OF AMERICA )  
 )  
 v. ) No. 3:07-CR-80-WHA  
 )  
 ALEXIS RAMON HERRERA-FLORES )

**PLEA AGREEMENT**

DEFENSE COUNSEL: (FDO) CHRISTINE FREEMAN

ASSISTANT U.S. ATTORNEY: NATHAN D. STUMP

**COUNTS AND STATUTES CHARGED:**

Count 1 8 U.S.C. § 1326(a)(b)(1)  
Illegal Reentry of Previously Removed Alien (w/ prior felony conviction)

Count 2 18 U.S.C. § 1001(a)(2)  
Making False Statements

**COUNTS PLEADING PURSUANT TO PLEA AGREEMENT:**

Count 1 8 U.S.C. § 1326(a)(b)(1)  
Illegal Reentry of Previously Removed Alien (w/ prior felony conviction)

**PENALTIES BY COUNT - MAXIMUM PENALTY:**

Count 1 A term of imprisonment which may not be more than 10 years, a fine not to exceed \$250,000, or both the fine and the imprisonment; a term of supervised release of no more than 3 years; an assessment fee of \$100.00; and restitution to any victims of the offense.

**ELEMENTS OF THE OFFENSE:**

To prove a violation of 8 U.S.C. § 1326(a), the Government must prove that the defendant (1) entered, attempted to enter, or at any time was found in the United States; (2) after having been deported. To qualify the defendant for the sentencing enhancement listed in 8 U.S.C. § 1326(b)(1), the Government must prove at sentencing that (3) the prior deportation was subsequent to a conviction for the commission of a felony. *See, e.g., United States v Fresnares-Torres*, 235 F.3d 481 (9th Cir. 2000); *United States v Forbes*, 16 F.3d 1294 (1st Cir. 1994).

With the authorization of the defendant, the parties to this action have entered into discussions with a view towards reaching a pretrial conclusion of the charges pending in the Indictment. As a result of those discussions, the parties have reached a Plea Agreement. This Plea Agreement is being submitted to the Court pursuant to Federal Rule of Criminal Procedure 11(c)(1)(C), and both the Government and the defendant understand that, in accordance with that Rule, if the Court should decide not to accept the terms of the Plea Agreement, the defendant has the right to withdraw his plea of guilty.

The specific terms of the Plea Agreement are as follows:

#### **GOVERNMENT'S PROVISIONS**

1. The Government agrees that a 2-level reduction in the applicable offense level pursuant to U.S.S.G. § 3E1.1(a) for the defendant's acceptance of responsibility is appropriate, so long as the defendant does not obstruct justice or otherwise fail to accept responsibility for the offense conduct. The determination of whether the defendant merits this reduction is at the sole discretion of the United States.

2. The Government agrees with the defendant to a sentence of time served, or a sentence at the bottom end of the applicable advisory range of the United States Sentencing Guidelines, as calculated by the Court, whichever is greater.

3. The Government agrees not to pursue any other criminal charges against the defendant stemming from the facts that support the defendant's conviction in this case.

4. The Government reserves the right to inform the Court and the United States Probation Office of all facts pertinent to the sentencing process, including all relevant information concerning the offenses and the defendant's background.

**DEFENDANT'S PROVISIONS**

5. The defendant agrees to plead guilty to Count 1 of the Indictment.

6. The defendant further agrees not to commit any other federal, state, or local offense while awaiting sentencing. The determination of whether the defendant's conduct is a violation of this provision is at the complete discretion of the Government.

7. Waiver of the Defendant's Right to Appeal or Collaterally Attack the Sentence.

a. *Defendant's Waiver.* By entering into this Plea Agreement, the defendant knowingly and voluntarily waives any and all of his rights under 18 U.S.C. § 3742 to appeal the sentence in this case. The defendant specifically waives his right to appeal the sentence on the grounds that the Sentencing Guidelines are in any respect unconstitutional, or that any fact found by the Court for sentencing was not alleged in the Indictment, admitted by the defendant, found by a jury, or found beyond a reasonable doubt. The defendant further expressly waives his right to appeal the conviction and sentence on any other ground, including any challenge to the reasonableness of the sentence, and waives the right to attack the sentence in any post-conviction proceeding.

b. *Exceptions.* This waiver does not include (and the defendant expressly reserves) the right to appeal or collateral attack on the grounds of ineffective assistance of counsel or prosecutorial misconduct. The defendant is released from this waiver if the Government files an appeal under 18 U.S.C. § 3742(b).

c. *No Waiver by Government.* Nothing in this Plea Agreement affects the Government's right and/or duty to appeal the sentence imposed in the case, as set forth in 18 U.S.C. § 3742(b). Nor does the Government waive its right to appeal any order dismissing the Indictment, vacating a sentence, or otherwise terminating the prosecution at any stage of the proceedings.

**FACTUAL BASIS**

8. The defendant admits the allegations charged in Count 1 of the Indictment and understands that the nature of the charge to which the plea is offered involves proof that the defendant committed the crime of **Illegal Reentry by an Alien Previously Removed** as follows:

The defendant, ALEXIS RAMON HERRERA-FLORES, is a native and citizen of Honduras. He has no authorized immigration status. On or about August 17, 2005, he was removed/deported from the United States at the Phoenix, Arizona Port of Entry. Sometime after he was deported, HERRERA-FLORES reentered the United States. At the time he reentered the United States, HERRERA-FLORES knew that his actions were illegal. He did not seek or obtain prior permission from the Attorney General to reenter the country.

On or about the 7th day of September, 2006, HERRERA-FLORES was found in Lee County, Alabama, within the Middle District of Alabama. HERRERA-FLORES was previously convicted of a felony, to wit: on June 15, 2000, in the United States District Court for the Western District of Michigan, HERRERA-FLORES was convicted of the crime of Re-entry of a Deported Alien.

All in violation of Title 8, United States Code, Section 1326(a).

**DEFENDANT'S UNDERSTANDING AND ACKNOWLEDGMENT**

9. After conferring with his attorney and before entering a plea of guilty to Count 1, the defendant advises the Court that he understands the following to be true:

a. The defendant has the right to plead not guilty, the right to be tried by a jury, the right to the assistance of counsel at every stage of the proceedings, the right to confront and cross-examine witnesses against him, the right to call witnesses on his behalf, and the right not to be compelled to incriminate himself. By entering a plea of guilty, the defendant waives all of those rights, and there will be no trial of any kind in this case.

b. Upon entering a plea of guilty, the defendant may be asked questions about the offense to which the plea is entered. Evidence of a plea of guilty later withdrawn, evidence of an

offer to plead guilty to the crime charged in the Indictment, or statements made in connection with and relevant to a guilty plea are not admissible against the defendant in any civil or criminal proceedings, except in a criminal proceeding for perjury or false statement, and only if the defendant makes a false statement under oath, on the court record, and in the presence of counsel.

c. The United States Probation Office will prepare for the Court a pre-sentence investigation report, in which the Probation Officer will recommend a sentence based upon the calculated offense level and the defendant's criminal history, as well as any relevant conduct related to the offense to which the plea is offered. The offense level and criminal history category calculated by the Probation Officer, as well as those ultimately determined by the Court, may differ from each other, or from what is projected by defendant's counsel or the Government.

d. At sentencing, the Court will find by a preponderance of the evidence the facts used to determine the defendant's sentence. For that purpose, the Court may consider any reliable evidence, including hearsay.

e. The Court is not required to accept the terms of this Plea Agreement, and if the Court does not accept the Plea Agreement, the defendant will be permitted to withdraw his plea of guilty and proceed to trial.

f. The maximum penalty allowed by law for conviction of the offense charged in Count 1 of the Indictment is 10 years in prison, a \$250,000 fine, or both the fine and the imprisonment; 3 years on supervised release; and restitution to any victims of the offense.

g. If the Court imposes a fine in this case, the defendant will be required to make an honest, good faith effort to pay the fine as directed by the Financial Litigation Section of the United States Attorney's Office. To that end, the defendant will meet with a member of the Financial Litigation Section on the day of sentencing and complete a written personal financial statement,

setting forth the defendant's assets and liabilities as of the date of the offense. The defendant further understands that by completing the financial statement, he is representing that it is true and accurate to the best of his information, knowledge, and belief.

h. Pursuant to 18 U.S.C. § 3013, the defendant will be ordered at sentencing to pay an assessment fee of \$100.00, which will be due and payable to the Court immediately.

i. There is no possibility of parole in the federal prison system, and if the Court sentences the defendant to a term of imprisonment, the defendant will likely serve all or substantially all of his prison sentence.

j. This Plea Agreement is the result of prior discussions between the attorney for the Government and the attorney for the defendant. Those discussions were all conducted with the defendant's authorization, knowledge, and consent.

k. This Plea Agreement and the guilty plea to be entered by the defendant are voluntary and are not the result of any force or threats and were not induced by any promises, apart from what the parties have expressly agreed upon in this written Plea Agreement.

#### **REPRESENTATIONS OF THE PARTIES**

10. The undersigned attorneys for the Government and for the defendant represent to the Court that the foregoing Plea Agreement is the agreement of the parties that has been reached pursuant to Rule 11, Federal Rules of Criminal Procedure, as Amended.

11. The attorney for the defendant represents to the Court that she has advised the defendant on all of the contents of this Plea Agreement, including specifically all of the provisions listed in Paragraph 9 herein, and that she is satisfied that the defendant adequately understands all of the contents of this Plea Agreement.

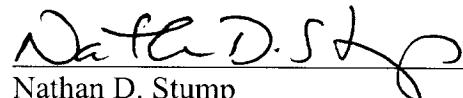
This 14th day of April, 2008.

Respectfully submitted,

LEURA GARRETT CANARY  
UNITED STATES ATTORNEY



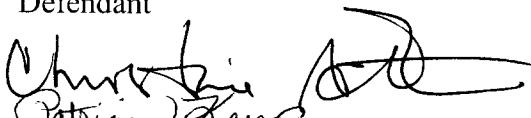
Louis V. Franklin, Sr., Chief  
Criminal Division



Nathan D. Stump  
Assistant United States Attorney  
131 Clayton Street  
Montgomery, Alabama 36104  
Tel: (334) 223-7280  
Fax: (334) 223-7135  
Email: nathan.stump@usdoj.gov

I have read the Plea Agreement, understand the same, and agree that it accurately states both the representations that have been made to me and the conditions of the agreement that has been reached. I am satisfied that my attorney, Christine A. Freeman, Esq., has represented my interests in this matter competently and effectively. IN ADDITION TO THE FOREGOING PROVISIONS TO WHICH I AGREE, I SWEAR UNDER PENALTY OF PERJURY THAT THE FACTS IN THE "FACTUAL BASIS" PARAGRAPH ABOVE ARE TRUE AND CORRECT.

Ramon Alexis H  
ALEXIS RAMON HERRERA-FLORES  
Defendant

  
CHRISTINE A. FREEMAN, Esq.  
Attorney for the Defendant

4-18-08  
Date

4/18/08  
4-14-08  
Date